

# SOME FUNDAMENTAL PROBLEMS ON REAL-ANALYTIC SETS

TOHSUKE URABE

## 1. INTRODUCTION

The category of real-analytic sets and real-analytic maps is the most important category in application. However, in spite of efforts by F. Bruhat, H. Cartan, H. Whitney et al., the basic theory of real-analytic category does not yet seem to be well-developed. In this article I would like to point out several basic problems. (Urabe [6].)

Let  $Q$  denote a *connected* real-analytic *smooth* manifold, and  $X \subset Q$  a subset of  $Q$ .

## 2. WHEN IS $X$ REAL-ANALYTIC?

We have two kinds of definitions.

**Definition 2.1.** We say that  $X$  is real-analytic, if for every point  $x \in X$  there are an open set  $U$  with  $x \in U \subset Q$  and a finite number of real-analytic functions  $f_1, f_2, \dots, f_k$  on  $U$  such that  $X \cap U$  coincides with the set of common zero-points of  $f_1, f_2, \dots, f_k$ .

Under Definition 2.1  $X$  is not necessarily a closed subset of  $Q$ . It is locally closed, or there is an open subset  $U$  of  $Q$  containing  $X$  such that  $X$  is a closed subset of  $U$ .

**Definition 2.2.** We say that  $X$  is real-analytic, if for every point  $x \in Q$  there are an open set  $U$  with  $x \in U \subset Q$  and a finite number of real-analytic functions  $f_1, f_2, \dots, f_k$  on  $U$  such that  $X \cap U$  coincides with the set of common zero-points of  $f_1, f_2, \dots, f_k$ .

Note that only the phrase “ $x \in X$ ” has been replaced by “ $x \in Q$ ”. Under Definition 2.2  $X$  is closed in  $Q$ .

I myself prefer former Definition 2.1, because it gives us flexibility of the theory and it reduces non-essential descriptions in related subjects, in which real-analytic sets in Definition 2.1 naturally appear.

## 3. WHEN IS A POINT $x \in X$ SMOOTH?

**Definition 3.1.** A real-analytic germ  $(X, x)$  of sets is *smooth*, if  $(X, x)$  is real-analytically isomorphic to  $(\mathbf{R}^d, 0)$  where  $d = \dim(X, x)$  and  $0 \in \mathbf{R}^d$  is a point.

**Example 3.2.** Let  $k = 4$  or  $5$ ,  $X = \{(x, y) \in \mathbf{R}^2 \mid x^3 = y^k\}$  and  $0 = (0, 0)$ . Then, the germ  $(X, 0)$  is *not* smooth under above Definition 3.1. However,  $(X, 0)$  is isomorphic to  $(\mathbf{R}, 0)$  in the category of germs of sets and maps of class  $C^1$ . Note that  $|y|^{4/3}$  and  $y|y|^{2/3}$  are  $C^1$ -functions on  $\mathbf{R}$ .

---

1991 *Mathematics Subject Classification.* Primary 32C07; Secondary 26-02.

*Key words and phrases.* real-analytic, smooth, irreducible.

#### 4. WHEN CAN WE DEFINE THE GLOBAL CONCEPT OF IRREDUCIBLE DECOMPOSITIONS?

**Definition 4.1.** We say that a real-analytic subset  $X \subset Q$  is *global*, if we have a set  $F$  of real-analytic functions defined globally on  $Q$  such that  $X$  coincides with the set of common zero-points of members in  $F$ .

**Definition 4.2.** We say that a global real-analytic subset  $X \subset Q$  is *reducible*, if  $X = Y \cup Z$  for some global real-analytic subsets  $Y, Z \subset Q$  with  $X \neq Y$  and  $X \neq Z$ . We say that  $X$  is *irreducible*, if it is not reducible.

**Theorem 4.3.** *Any global irreducible real-analytic subset in  $Q$  is connected.*

**Definition 4.4.** Let  $X$  be a global real-analytic subset of  $Q$ . A family  $S$  of global irreducible real-analytic subsets in  $Q$  is called the *irreducible decomposition* of  $X$ , if the union of members in  $S$  coincides with  $X$  and if any two members in  $S$  have no inclusion relation.

In *compact* case we can show the following:

**Theorem 4.5.** *Any compact global real-analytic set  $X$  in  $Q$  has a unique irreducible decomposition.*

**Example 4.6.** Consider an *irreducible* polynomial  $f(x, y) = y^2 - x^2(x - 1)$  for example. The set  $X = \{(x, y) \in \mathbf{R}^2 \mid f(x, y) = 0\}$  of zero-points of  $f(x, y)$  is a global real-analytic set. Drawing the picture of  $X$ , we see that it is not connected. Thus, by Theorem 4.3  $X$  is reducible.

**Example 4.7.** Let  $m$  be a positive integer and  $X_m = \{(x, y) \in \mathbf{R}^2 \mid x^2 + y^2 = m^2\}$ . The union  $X = \bigcup_{m=1}^{\infty} X_m$  is a non-compact global real-analytic subset with countably many connected components.

**Problem 4.8.** Give generalization of Theorem 4.5 for real-analytic subsets which are not necessarily closed.

#### REFERENCES

- [1] François Bruhat and Henri Cartan, *Sur la structure de sous-ensemble analytique-réelles*, C. R. Acad. Sci. Paris **244** (1957), 988–990.
- [2] ———, *Sur les composantes irréductibles d'un sous-ensemble analytique-réelles*, C. R. Acad. Sci. Paris **244** (1957), 1123–1126.
- [3] François Bruhat and Hassler Whitney, *Quelques propriétés fondamentales des ensembles analytiques-réels*, Comm. Math. Helv. **33** (1959), 132–160.
- [4] Henri Cartan, *Variétés analytiques réelles et variétés analytiques complexes*, Bull. Soc. math. France **85** (1957), 77–99.
- [5] Hans Grauert, *On Levi's problem and the imbedding of real-analytic manifolds*, Annals of Math. **68** (1958), 460–472.
- [6] Tohsuke Urabe, *The Gauss map and the dual variety of real-analytic submanifolds in a sphere or in hyperbolic space*, preprint (1995).
- [7] Hassler Whitney, *Elementary structure of real algebraic varieties*, Annals of Math. **66** (1957), no. 3, 545–556.

DEPARTMENT OF MATHEMATICS, TOKYO METROPOLITAN UNIVERSITY, MINAMI-OHSAWA 1-1,  
HACHIOJI-SHI, TOKYO, 192-03, JAPAN

*E-mail address:* `urabe@comp.metro-u.ac.jp`